

# Robust LFC in a Deregulated Environment : Multi-objective Control Approach

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This paper addresses a new decentralized robust load-frequency control (LFC) design in a multi-area power system under deregulation based on bilateral policy scheme. In each control area, the effect of bilateral contracts is taken into account as a set of new input signals to modify the traditional LFC structure. The LFC problem is considered as a multi-objective control problem and formulated via a mixed  $H_2/H_\infty$  control technique, then it is easily carried out to synthesis the desired low-order robust controllers by solving standard linear matrix inequalities (LMI). A three-area power system example with possible contract scenarios and a wide range of load changes is given to illustrate the developed approach. The results of the proposed multi-objective control strategy are compared with pure  $H_\infty$  design. The resulting controllers are shown to maintain the robust performance and minimize the effect of disturbances and specified uncertainties.

**Keywords:** Load frequency control, mixed  $H_2/H_\infty$  control, restructured power system, robust performance

## 1. Introduction

In a deregulated environment, load-frequency control (LFC) as an ancillary service acquires a fundamental role for maintaining the electrical system reliability at an adequate level. That is why there has been increasing interest for designing load frequency controllers with better performance according to changing environment of power system operation under deregulation. Recently, several reported strategies attempted to adapt well tested classical LFC schemes for restructured power system<sup>(1)-(6)</sup>. The main advantage of these strategies is in using the basic concepts of traditional framework and avoiding from apply the impractical or untested LFC models. Following mentioned attempts, this paper addresses a novel control strategy for the generalized LFC structure which is presented in Ref. (5)(6). The introduced generalized LFC model shows how the bilateral contracts are incorporated in the traditional LFC system leading to a new model.

Naturally, LFC is a multi-objective control problem. LFC goals i.e. frequency regulation and tracking the load changes, maintaining the tie-line power interchanges to specified values in presence of generation constraints and dynamical model uncertainties, determines the LFC synthesis as a multi-objective control problem. Therefore, it is expected that an appropriate multi-objective control strategy could be able to give a better solution for this problem. However, in the reported robust LFC approaches, for example Ref. (7) ~ (9), only one single norm is used to capture design specifications.

It is clear that meeting all the LFC design objectives by a single norm-based control approach with regard to increasing the complexity and changing of power system structure is difficult. Furthermore each robust method is mainly useful to capture a set of special specifications. For instance, the regulation against random disturbances more naturally can be addressed by LQG or  $H_2$  synthesis. The  $H_2$  tracking design is more adapted to deal with transient performance by minimizing the linear quadratic cost of tracking error and control input, but  $H_\infty$  approach (and  $\mu$  as a generalized  $H_\infty$  approach) is more useful to holding closed-loop stability in presence of control constraints and uncertainties.

While the  $H_\infty$  norm is natural for norm-bounded perturbations, in many applications the natural norm for the input-output performance is the  $H_2$  norm. It is shown that using the combination of  $H_2$  and  $H_\infty$  (mixed  $H_2/H_\infty$ ) allows a better performance for a control design problem including both set of above objectives<sup>(10)</sup>.

In this paper, first the LFC problem is formulated as a multi-objective control problem for a given generalized control area with several generation units in a deregulated environment and then it is solved by a mixed  $H_2/H_\infty$  control approach to obtain the desired robust decentralized controller. The model uncertainty in each control area is covered by an unstructured multiplicative uncertainty block. The proposed strategy is applied to a three-control area example to design a set of robust low-order controllers. The results of the proposed multi-objective approach are compared with the proposed dynamic pure  $H_\infty$  controllers, which show the effectiveness of this methodology. The preliminary steps of this work are presented in Ref. (5)(6).

## 2. Bilateral-based LFC Scheme<sup>(5)</sup>

In a deregulated environment, vertically integrated utilities

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$z_{2i}(1)$  and  $z_{2i}(2)$ . In result, the tie-line power flow (which can be described as a linear combination of frequency deviation and ACE signals) is controlled. Furthermore, fictitious output  $\eta_{3i}\Delta P_{Ci}$  sets a limit on the allowed control signal to penalize fast changes and large overshoot in the governor load set-point with regards to corresponded practical constraint on power generation by generator units. Also in LFC, it is important to keep up the frequency regulation and desired performance in the face of uncertainties affecting the control area<sup>(14)</sup>. The  $H_\infty$  performance is used to meet the robustness against specified uncertainties and reduction of its impact on closed-loop system performance. Therefore, it is expected that the proposed strategy satisfy the main objectives of LFC system under load disturbance and model uncertainties.

Following a load disturbance within a control area, the frequency of that area experiences a transient change, the feedback mechanism comes into play and generates appropriate rise/lower signal to the participated Gencos according to their participation factors ( $\alpha_{ji}$ ) and contract information (GPM) to make generation follow the load. In the steady state, the generation is matched with the load, driving the tie-line power and frequency deviations to zero.

The balance between connected control areas is achieved by detecting the frequency and tie line power deviations to generate the area control error (ACE) signal which is turn utilized in the proposed control strategy as shown in Fig. 1. The ACE for each control area can be expressed as a linear combination of tie-line power change and frequency deviation.

$$ACE_i = B_i\Delta f_i + \Delta P_{tie-i} \dots \dots \dots (18)$$

Where,  $B_i$  is frequency bias coefficient. Although in the LFC literature,  $B_i$  is considered as a fixed value, however currently estimating of its value on a real-time basis is an open research area. In any case, with fixed bias coefficient, the impact on ACE from external disturbances should not be ignored<sup>(15)</sup>.

In the next section, two sets of robust controllers are developed for a power system example including three control areas. The first one includes designed reduced-order controllers based on the proposed mixed  $H_2/H_\infty$  approach and the second one contains pure  $H_\infty$  controllers based on general LMI technique with the assumed same objectives and initializations to achieve desired robust performance.

#### 4. A 3-Control Area Example

To illustrate the effectiveness of proposed control strategy, a three control area power system, shown in Fig. 4, is considered as a test system. It is assumed that  $\alpha_{ji} = 0.333$  and  $[D_i$  (pu/Hz),  $M_i$  (pu.sec)] for areas 1 to 3 are [0.044, 0.4867], [0.044, 0.5477] and [0.046, 0.4784] respectively. The rate limit value for each Genco is assumed 0.1. The other power system parameters are considered to be the same as in Ref. (9).

##### 4.1 Weights Selection

In this example with regards to uncertainty, it is assumed that the parameters of rotating mass and load pattern in each control area have uncertain values. The variation range for  $D_i$  and  $M_i$  parameters is assumed  $\pm 20\%$ . Considering the more complete model by including additional uncertainties is possible and causes less

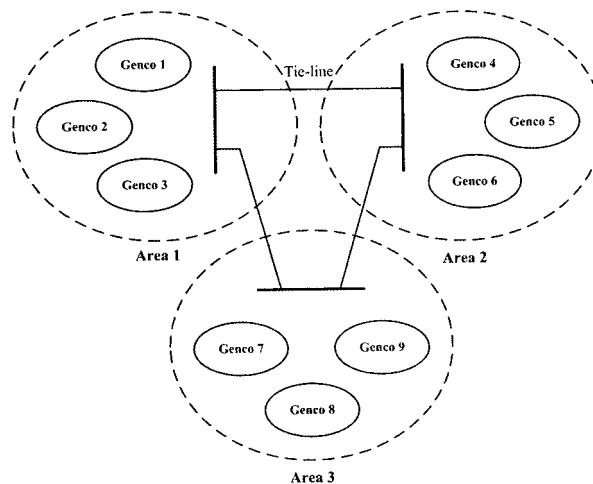


Fig. 4. 3-Control area power system

conservative in synthesis. However, the complexity of computations and the order of resulted controller will increase. These uncertainties are modeled as an unstructured multiplicative uncertainty block that contains all the information available about  $D_i$  and  $M_i$  variations. Corresponding to an uncertain parameter, let  $\hat{G}_i(s)$  denotes the transfer function from the control input  $u_i$  to control output  $y_i$  at operating points other than nominal point. Then the multiplicative uncertainty block can be expressed as

$$|\Delta_i(s)W_i(s)| = |[\hat{G}_i(s) - G_{0i}(s)]G_{0i}(s)^{-1}|; G_{0i}(s) \neq 0 \dots \dots \dots (19)$$

where,  $\|\Delta_i(s)\|_\infty = \sup_\omega |\Delta_i(s)| \leq 1$ .

$\Delta_i(s)$  shows the uncertainty block corresponding to uncertain parameter and  $G_{0i}(s)$  is the nominal transfer function model.

Thus,  $W_i(s)$  is such that its respective magnitude bode plot covers the bode plots of all possible plants. For example, using Eq. (19), some sample uncertainties corresponding to different values of  $D_i$  and  $M_i$  for area 1 are shown in Fig. 5. It can be seen the frequency responses of both set of parametric uncertainties are close to each other, and, hence to keep the complexity of obtained controller low, we can model uncertainties due to both set of parameters variation by using a norm bonded multiplicative uncertainty to cover all possible plants as follows

$$W_1(s) = \frac{0.3063s + 0.053}{s + 0.5338} \dots \dots \dots (20)$$

Fig. 5 clearly shows that attempting to cover the uncertainties at all frequencies and finding a tighter fit (in low frequencies) using higher order transfer function will result in high-order controller. This weight (Eq. (20)) gives a good trade-off between robustness and controller complexity. Using the same method, the uncertainty weighting functions for areas 2 and 3 will be obtained.

$$\left. \begin{aligned} W_2(s) &= \frac{0.2873s + 0.0202}{s + 0.3876} \\ W_3(s) &= \frac{0.2655s + 0.0195}{s + 0.3721} \end{aligned} \right\} \dots \dots \dots (21)$$

The selection of constant weights  $\eta_{1i}$ ,  $\eta_{2i}$  and  $\eta_{3i}$  is dependent on specified performance objectives and must be chosen by designer. In fact an important issue with regard to

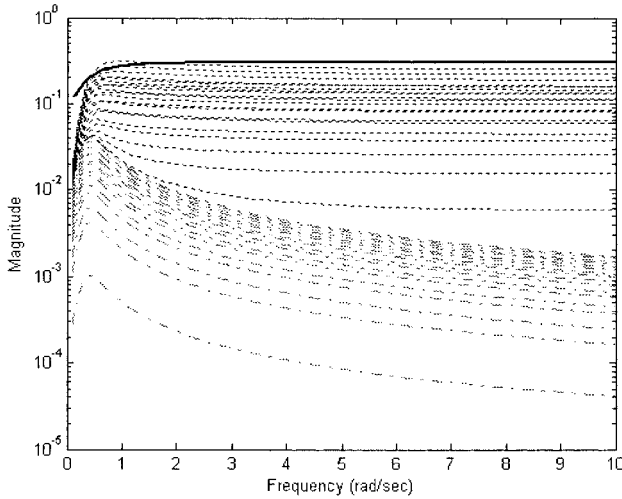


Fig. 5. Uncertainty plots;  $D_1$  (dotted),  $M_1$  (dash-dotted) and  $W_1$  (solid)

Table 1. Robust performance indices

Performance index	Area1	Area 2	Area 3
$\gamma_2$ (Original)	0.7439	0.7366	0.7155
$\gamma_\infty$ (Original)	0.4513	0.4462	0.4326
$\gamma_2$ (Reduced)	0.7440	0.7374	0.7159
$\gamma_\infty$ (Reduced)	0.4549	0.4534	0.4371

selection of these weights is the degree to which they can guarantee the satisfaction of design performance objectives. Selection of these weights entails a trade off among several performance requirements<sup>(8)(14)</sup>. The coefficients  $\eta_{1i}$  and  $\eta_{2i}$  at controlled outputs set the performance goals e.t. tracking the load variation and disturbance attenuation.  $\eta_{3i}$  sets a limit on the allowed control signal to penalize fast change and large overshoot in the governor load set-point signal. Here, a set of suitable values for constant weights is chosen as follows:

$$\eta_{1i} = 0.25, \quad \eta_{2i} = 0.3, \quad \eta_{3i} = 0.1 \dots \dots \dots (22)$$

**4.2 Mixed  $H_2/H_\infty$  Control Design** According to synthesis methodology described in section 3, a set of three decentralized robust controllers are designed. The problem formulation and control framework are explained in section 3. Specifically, the control design is reduced to an LMI formulation, and then the desired optimal controllers are obtained through solving the following optimization problem:

$$\text{minimize } \gamma_2 = \|T_{z_2, w_2}\|_2 \text{ subject to } \gamma_\infty = \|T_{z_{oi}, w_{1i}}\|_\infty < 1 \dots \dots \dots (23)$$

The order of resulting controllers is 10 (almost it is equal to the size of area model plus  $W_i(s)$ ). Finally, Hankel norm model reduction yielded a set of two-order controllers with virtually no performance degradation as shown in Appendix. The optimal performance indices for the original and reduced order controllers are listed in Table 1.

**4.3 Pure  $H_\infty$  Control Design** For the sake of comparison, in addition to proposed control strategy, a pure  $H_\infty$  dynamic output controller is developed to achieve the same objectives in each control area. With regards to specified uncertainties consider the following set of plants,

Table 2.  $H_\infty$  performance and stability indices

index	Area1	Area 2	Area 3
$\gamma_{ST}$	0.4885	0.4854	0.4585
$\gamma_{PR}$	0.9692	0.9998	0.9388

$$\Psi := \{G_i(1 + \Delta_i W_i) : \Delta_i \text{ stable}, \|\Delta_i\|_\infty \leq 1\} \dots \dots \dots (24)$$

Here,  $G_i$  denotes the transfer function from  $u_i$  to  $y_i$ . In order to achieve the robust performance, the  $H_\infty$  control design problem is reduced to find a controller  $K_i$  such that the closed-loop system will be internally stable for all  $G_i \in \Psi$ , or equivalently,

$$\gamma_{ST} = \|W_i K_i G_i (I + K_i G_i)^{-1}\|_\infty \leq 1 \dots \dots \dots (25)$$

and in addition, the following performance objective will be satisfied for every  $G_i \in \Psi$ ,

$$\gamma_{PR} = \|T_{z_i, w_{2i}}\|_\infty \leq 1 \dots \dots \dots (26)$$

where  $T_{z_i, w_{2i}}$  is the transfer function from  $w_{2i}$  to  $z_i$ , and  $z_i = z_{2i}$ . The resulted controllers are obtained in the following state-space form, whose order are the same as generalized area model (here 10) and the resulted robust stability and performance indices are given in Table 2.

$$\left. \begin{aligned} \dot{x}_{ki} &= A_{ki} x_{ki} + B_{ki} y_i \\ u_i &= C_{ki} x_{ki} + D_{ki} y_i \end{aligned} \right\} \dots \dots \dots (27)$$

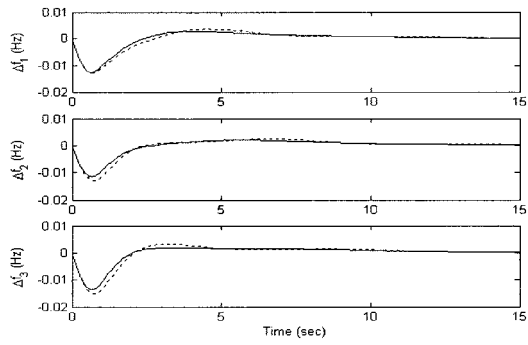
**5. Simulation Results**

In order to demonstrate the effectiveness of the proposed control strategy, some simulations were carried out. In these simulations, the proposed reduced-order controllers were applied to the three control area power system described in Fig. 4. The performance of the closed-loop system using the designed reduced-order mixed  $H_2/H_\infty$  controllers in comparison of full-order pure  $H_\infty$  controllers is tested for the various scenarios of load demands, disturbances and uncertainties. Here, because of lack of space, the system responses are only shown for two sever operating conditions.

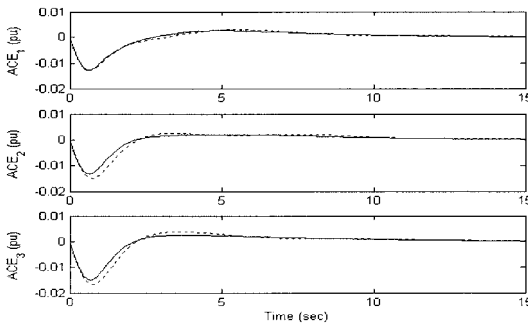
**Case 1:** In this case, the closed-loop performance is tested in the presence of both step load demand and uncertainties. It is assumed a large load demand 100 MW is requested by each Disco, following 20% decrease in uncertain parameters  $D_i$  and  $M_i$ . Furthermore, assume Discos contract with the available Gencos according to the following  $GPM$ ,

$$GPM^T = \begin{bmatrix} 0.3 & 0 & 0.25 & 0 & 0.2 & 0 & 0 & 0.25 & 0 \\ 0 & 0.2 & 0 & 0 & 0.1 & 0.3 & 0 & 0.4 & 0 \\ 0 & 0.25 & 0 & 0 & 0.4 & 0 & 0 & 0 & 0.35 \end{bmatrix}$$

Gencos 4 and 7 do not participate in LFC task at all; Gencos 1, 3, 6 and 9 only participate for performing the LFC in their areas, while other Gencos track the load demand in their areas and/or others. Frequency deviation ( $\Delta f$ ) and area control error ( $ACE$ ) of closed-loop system are shown in Fig. 6. Using the proposed method, the area control error and frequency deviation of all areas are quickly driven back to zero. The tie-line power flows and generated powers are properly convergence to specified values as shown in Figs. 7 and 8. The actual generated powers of Gencos, according to



(a)



(b)

Fig. 6. System response for Case 1: (a) Frequency deviation, (b) area control error; Solid (mixed  $H_2/H_\infty$ ), dotted ( $H_\infty$ )

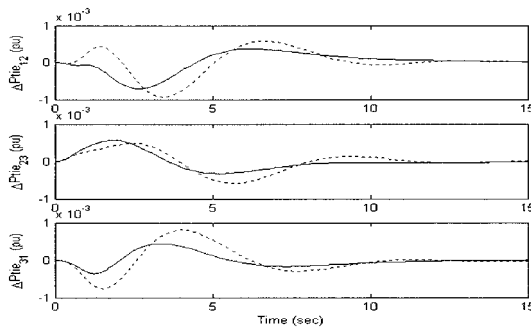


Fig. 7. Tie-line powers for Case 1; Solid (mixed  $H_2/H_\infty$ ), dotted ( $H_\infty$ )

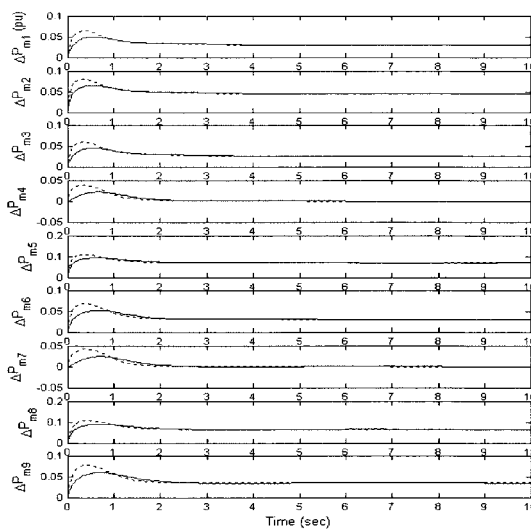


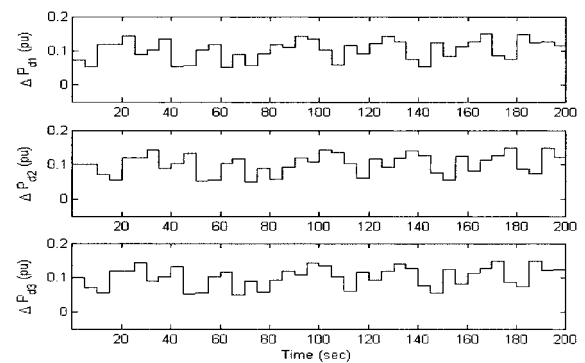
Fig. 8. Power changes for Case 1; Solid (mixed  $H_2/H_\infty$ ), dotted ( $H_\infty$ )

Eq. (10), reach the desired values in the steady state.

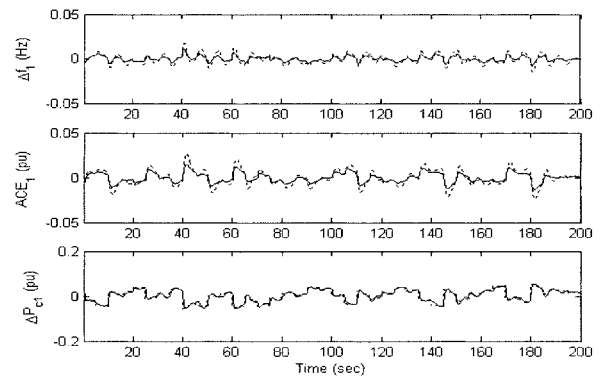
$Genco$	1	2	3	4	5	6	7	8	9
$\Delta P_{mi}$ (pu)	0.03	0.045	0.025	0	0.07	0.03	0	0.065	0.035

Fig. 8 shows the power is initially coming from all GenCos to respond to the load increase which will result in a frequency drop that is sensed by the governors of all machines. But at steady state the necessary powers are coming from GenCos which participate in LFC task. Since the total exported and imported powers for each control area are equivalent, the scheduled steady state power flows over the tie lines are zero. Comparing the simulation results with both types of controllers, shows that the proposed design achieves better frequency regulation with small settling times.

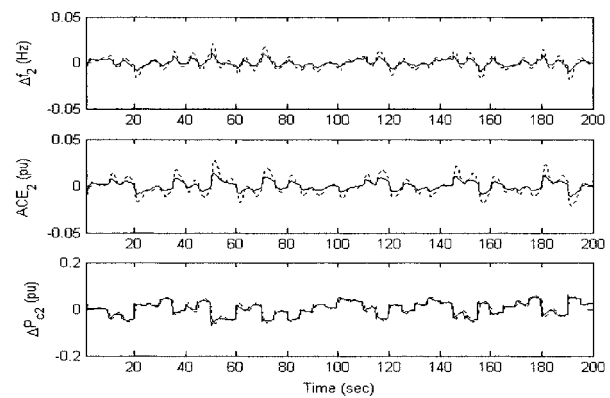
Case 2: Consider the case 1 again. Assume in addition to



(a)



(b)



(c)

Fig. 9. System response for Case 2: (a) Random load patterns, (b) Area-1, (c) Area-2; Solid (mixed  $H_2/H_\infty$ ), dotted ( $H_\infty$ )

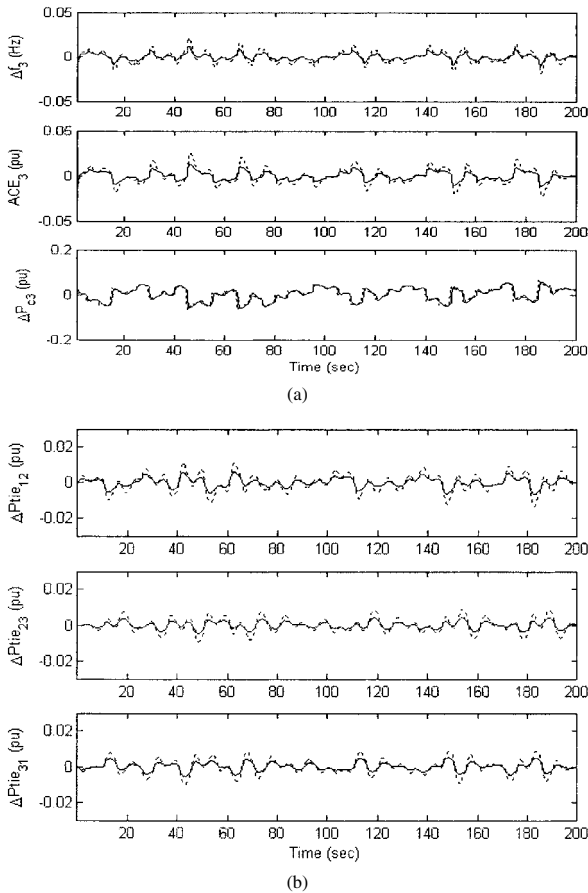


Fig. 10. System response for Case 2: (a) Area-3, (b) Tie-line powers; Solid (mixed  $H_2/H_\infty$ ), dotted ( $H_\infty$ )

specified contracted load demands and 20% decrease in uncertain parameters, a bounded random step load change as a large uncontracted demand (shown in Fig. 9(a)) is appears in each control area, where

$$-50 \text{ MW}(-0.05 \text{ pu}) \leq \Delta P_{di} \leq +50 \text{ MW}(+0.05 \text{ pu})$$

The purpose of this scenario is to test the robustness of the proposed controllers against uncertainties and random large load disturbances. The control area responses are shown in Figs. 9, 10.

These figures demonstrate that the designed controllers track the load fluctuations and meet robustness, effectively. Simulation results show the validity of generalized LFC model and demonstrate the proposed low-order mixed  $H_2/H_\infty$  controllers perform the closed-loop performance better than the full-order  $H_\infty$  controllers for a wide range of load disturbances, uncertainties and possible bilateral contract scenarios. It can be seen that proposed design gives small frequency deviation amplitude using less control effort with smooth changes, which is more useful in real-world LFC applications.

It is notable that with the existing limits on the rate and range of generation change and the fact that steam units (for example) take a few to several dozen seconds to fully respond, maneuvering generation to mach fast varying components of area demand is impossible<sup>(16)</sup>. In light of this direction, the proposed control strategy includes enough flexibility to set a desired level of performance to cover the practical

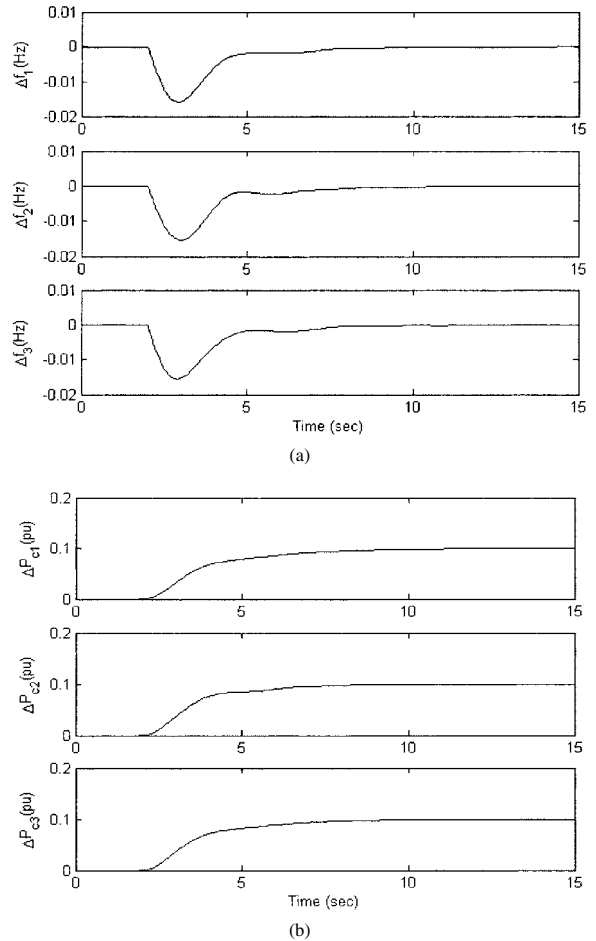


Fig. 11. System response for Case 1; ( $\eta_{3i}=0.5$ ): (a) Frequency deviation, (b) Control effort signal

constraint on control action signal. It is easily carried out by tuning of  $\eta_{3i}$  in the fictitious controlled output  $z_{2i}(3)$  shown in Fig. 2. Specifically, by increasing the weight of  $\eta_{3i}$ , we can obtain a more smooth control signal. For instance, by changing  $\eta_{3i}$  from 0.1 to 0.5, the system response (frequency deviation and control signals) for Case 1 will be obtained as shown in Fig. 11 (to see the response clearly, the start up time is moved to 2 second).

Fig. 11 shows that although the applied step load disturbance includes fast changes in its amplitude at 2 second (from 0.0 to 0.1 pu), however the proposed controllers penalize the fast change and overshoot in the governor set-point signals  $\Delta P_{ci}$ , effectively.

### 6. Conclusion

Since in real-world restructured power system, each control area is faced with various uncertainties and disturbances, the LFC problem in a multi-area power system is formulated as a decentralized multi-objective optimization control problem. A mixed  $H_2/H_\infty$  technique is used to design the desired controllers. The proposed method was applied to a three control area power system and is tested under various possible scenarios. The results are compared with the results of applied dynamic output  $H_\infty$  controllers. Simulation results demonstrated the effectiveness of proposed methodology.

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## Appendix

State-space model of load-frequency controllers:

$$\dot{x}_{ki} = A_{ki}x_{ki} + B_{ki}y_i$$

$$u_i = C_{ki}x_{ki} + D_{ki}y_i$$

Where,

$$A_{k1} = \begin{bmatrix} -4.3157 & 1.2354 \\ 1.2269 & -0.9023 \end{bmatrix}, B_{k1} = \begin{bmatrix} 4.9573 & 1.7128 \\ -0.8207 & -0.1915 \end{bmatrix}$$

$$C_{k1} = \begin{bmatrix} 5.2449 & -0.8427 \end{bmatrix}, D_{k1} = \begin{bmatrix} -7.3060 & -2.9997 \end{bmatrix}$$

$$A_{k2} = \begin{bmatrix} -4.0991 & 1.4551 \\ 1.4357 & -1.0581 \end{bmatrix}, B_{k2} = \begin{bmatrix} -4.4945 & -1.6576 \\ 0.9659 & 0.2106 \end{bmatrix}$$

$$C_{k2} = \begin{bmatrix} -4.7904 & 0.9886 \end{bmatrix}, D_{k2} = \begin{bmatrix} -6.7670 & -2.9912 \end{bmatrix}$$

$$A_{k3} = \begin{bmatrix} -4.0994 & 1.5063 \\ 1.4880 & -1.0972 \end{bmatrix}, B_{k3} = \begin{bmatrix} 4.4458 & 1.6168 \\ -0.9935 & -0.2199 \end{bmatrix}$$

$$C_{k3} = \begin{bmatrix} 4.7306 & -1.0175 \end{bmatrix}, D_{k3} = \begin{bmatrix} -6.6820 & -2.9927 \end{bmatrix}$$

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